

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 16869S-093700US

First Inventor Yatabe, Yusuke

Title ENCODING APPARATUS, VIDEO CAMERA

Express Mail Label No. EV346924172US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 1]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
c. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper number of pages
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations (2)
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____

Prior application information: Examiner _____

Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number

20350

OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

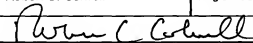
Name (Print/Type)

Robert C. Colwell

Registration No. (Attorney/Agent)

27,431

Signature



Date

September 5, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450. 60032866 v1

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

09/05/03

22240 U.S. P.T.O. 60032866

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1086

Complete if Known

| | |
|----------------------|-----------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Yatabe, Yutsuke |
| Examiner Name | |
| Art Unit | |
| Attorney Docket No. | 18869S-093700US |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ MoneyOrder ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 20-1430

Deposit Account Name: Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

| 1. BASIC FILING FEE | | |
|---------------------|---------------|------------------------|
| Large Entity | Small Entity | |
| Fee Code (\$) | Fee Code (\$) | Fee Description |
| 1001 750 | 2001 375 | Utility filing fee |
| 1002 330 | 2002 165 | Design filing fee |
| 1003 520 | 2003 260 | Plant filing fee |
| 1004 750 | 2004 375 | Reissue filing fee |
| 1005 160 | 2005 80 | Provisional filing fee |

SUBTOTAL (1) \$750

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fees from below | Fee Paid |
|--------------------|--------------|-----------------|----------|
| Total Claims | 16 -20** = 0 | \$18 | \$0 |
| Independent Claims | 7 -3** = 4 | \$84 | \$336 |
| Multiple Dependent | X | | |

| Large Entity | Small Entity | |
|---------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) | Fee Description |
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 84 | 2201 42 | Independent claims in excess of 3 |
| 1203 280 | 2203 140 | Multiple dependent claim, if not paid |
| 1204 84 | 2204 42 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$336

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|--------------|--------------|---------------|---------------|--|----------|
| 1051 130 | 2051 65 | 1052 50 | 2052 25 | Surcharge - late filing fee or oath | |
| 1053 130 | 2053 65 | 1804 920* | 2054 460 | Surcharge - late provisional filing fee or cover sheet | |
| 1812 2,520 | 2053 130 | 1812 2,520 | 2054 1,260 | Non-English specification | |
| 1804 920* | 1804 920* | 1804 920* | 2054 460 | For filing a request for reexamination | |
| 1805 1,840* | 1805 1,840* | 1805 1,840* | 2054 460 | Requesting publication of SIR prior to Examiner action | |
| 1251 110 | 2251 55 | 1251 110 | 2251 55 | Requesting publication of SIR after Examiner action | |
| 1252 410 | 2252 205 | 1253 930 | 2253 465 | Extension for reply within first month | |
| 1253 930 | 2253 465 | 1254 1,450 | 2254 725 | Extension for reply within second month | |
| 1254 1,450 | 2254 725 | 1255 1,570 | 2255 785 | Extension for reply within third month | |
| 1255 1,570 | 2255 785 | 1401 320 | 2401 160 | Extension for reply within fourth month | |
| 1401 320 | 2401 160 | 1402 320 | 2402 160 | Extension for reply within fifth month | |
| 1402 320 | 2402 160 | 1403 280 | 2403 140 | Notice of Appeal | |
| 1403 280 | 2403 140 | 1451 1,510 | 2451 755 | Filing a brief in support of an appeal | |
| 1451 1,510 | 2451 755 | 1452 110 | 2452 55 | Request for oral hearing | |
| 1452 110 | 2452 55 | 1453 1,300 | 2453 650 | Petition to institute a public use proceeding | |
| 1453 1,300 | 2453 650 | 1501 1,300 | 2501 650 | Petition to revive - unavoidable | |
| 1501 1,300 | 2501 650 | 1502 470 | 2502 235 | Petition to revive - unintentional | |
| 1502 470 | 2502 235 | 1503 630 | 2503 315 | Utility issue fee (or reissue) | |
| 1503 630 | 2503 315 | 1504 130 | 2504 65 | Design issue fee | |
| 1504 130 | 2504 65 | 1505 180 | 2505 90 | Plant issue fee | |
| 1505 180 | 2505 90 | 1806 180 | 2806 90 | Petitions related to provisional applications | |
| 1806 180 | 2806 90 | 1807 50 | 2807 25 | Submission of Information Disclosure Stmt | |
| 1807 50 | 2807 25 | 1808 180 | 2808 90 | Recording each patent assignment per property (times number of properties) | |
| 1808 180 | 2808 90 | 1809 750 | 2809 375 | Petitions for Continued Examination (RCE) | |
| 1809 750 | 2809 375 | 1810 750 | 2810 375 | Request for expedited examination of a design application | |
| 1810 750 | 2810 375 | 1802 900 | 2802 450 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1802 900 | 2802 450 | | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | | | Request for Continued Examination (RCE) | |
| | | | | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|-------------------------|-----------------------------------|-------------------|-----------|--------------|
| Name (Print/Type) | Reese C. Colwell | Registration No. (Attorney/Agent) | 27,431 | Telephone | 650-328-2400 |
| Signature | <i>Reese C. Colwell</i> | Date | September 5, 2003 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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